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PTO/SB/21 (modified)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTC 87 & TRADEMARK OFFICE U.S. Department of Commerce Rev. 10/95		Application Number	10/087,089
		Filing Date	February 27, 2002
		First Named Inventor	Albert K. Chin
		Group Art Unit Number	3761
		Examiner Name	Not yet known
Total Number of Pages in This Submission	18	Attorney Docket Number	80121-06652

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): <input type="checkbox"/> [] Sheet(s) of Figure(s) [] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Copy of Filing Receipt dated 6/4/02 <input checked="" type="checkbox"/> Copy of Claims as submitted 2/27/02 <input checked="" type="checkbox"/> Copy of fee transmittal as submitted 2/27/02 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

REMARKS:

SIGNATURE OF ATTORNEY OR AGENT	
Signature:	<i>A.C. Smith</i>
Attorney/Reg. No.:	Albert C. Smith / Reg. No.: 20,355
Dated:	7/26/02

CERTIFICATE OF MAILING	
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.	
Signature:	<i>A.C. Smith</i>
Typed or Printed Name:	Albert C. Smith
Express Mail Mailing Number (optional):	
Dated:	7/26/02



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PTO/SB/17 (10-01)(modified)
OMB 0651-0032

GMD 009-1-0002
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) U.S. Department of Commerce
Rev. 10/2001 Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) =

0002/PTO(modified) Rev. 10/2001		U.S. Department of Commerce Patent and Trademark Office	
FEE TRANSMITTAL		U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE	
		Complete if Known	
		Application Number	10/087,089
		Filing Date	February 27, 2002
		First Named Inventor	Albert K. Chin
		Group Art Unit	3761
		Examiner Name	Not yet known
TOTAL AMOUNT OF PAYMENT		Attorney Docket Number	80121-06652
Subtotal (1) + Subtotal (2) + Subtotal (3) =		(\$)	

METHOD OF PAYMENT

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to:

- Charge the indicated fees to the below mentioned deposit account.
 - Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †
 - Applicant claims small entity status
See 37 CFR 1.27

Deposit Account Number: 19-2555
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. Payment Enclosed:

- Check Credit Card Other

FEE CALCULATION (fees effective 10/01/2001)

1. FILING FEE

<u>Large Entity Fee Code/Fee</u>	<u>Small Entity Fee Code/Fee</u>	<u>Fee Description</u>	<u>Fee Due</u>
101/\$740	201/\$370	Utility Filing	<input type="text"/>
106/\$330	206/\$165	Design Filing	<input type="text"/>
108/\$740	208/\$370	Reissue	<input type="text"/>
114/\$160	214/\$80	Provisional Filing	<input type="text"/>
SUBTOTAL (1)			(\$)

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$84	202/\$42	Independent claims in excess of 3
104/\$280	204/\$140	Multiple dependent claim
109/\$84	209/\$42	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

(Col. 1)	(Col. 2)	(Col. 3)	
For No. of Existing Claims	Highest No. Previously Paid For	Extra**	Fee
TOTAL	minus* 20 or 0	=	x
INDEP	minus* 3 or 0	=	x
			=
			=
			=

- Subtract the greater number of Col. 2

**** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3.**

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name		Albert C. Smith	Reg. Number
Signature		Date	7/26/02



IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT(S): Albert K. Chin
APPLICATION NO.: 10/087,089
FILING DATE: February 27, 2002
TITLE: Temporary Arterial Shunt and Method
EXAMINER: Not yet known
GROUP ART UNIT: 3761
ATTY. DKT. NO.: 80121-06652

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on the date shown below:

Dated: 7/26/02 By: A. C. Smith
Albert C. Smith, Reg. No.: 20,355

COMMISSIONER FOR PATENTS
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WASHINGTON, DC. 20231

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a copy of the Updated Filing Receipt. It contains the following errors:

1. The independent claims are erroneously stated as five (5). The correct number of independent claims is six (6), as evidenced by the claims and the Fee Transmittal as submitted on February 27, 2002, copies of which are enclosed.
2. A mathematical error was made on the Fee Transmittal upon filing. A check was submitted for \$1,214.00 but actual fees should have been \$1,316.00.

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Actual fees we have paid to date are as follows:

Filing Fee	\$ 1,214.00
Response to Notice of Missing Parts	\$ 130.00
Total	\$ 1,344.00

If appropriate, please charge any additional fee required to our Fenwick & West LLP Deposit Account No. 19-2555. Please issue a corrected Filing Receipt rectifying these errors.

Respectfully submitted,
ALBERT K. CHIN

Dated: 7/26/02

By: A.C. Smith
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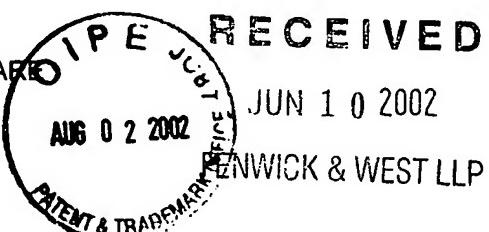


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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/087,089	02/27/2002	3761	1362	80121-6652	3	38	(S) 6

00758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306



CONFIRMATION NO. 1991

UPDATED FILING RECEIPT



OC000000008229584

Date Mailed: 06/04/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Albert K. Chin, Palo Alto, CA;

Domestic Priority data as claimed by applicant**Foreign Applications****If Required, Foreign Filing License Granted 04/05/2002****Projected Publication Date:** Request for Non-Publication Acknowledged**Non-Publication Request:** Yes**Early Publication Request:** No**Title**

Temporary arterial shunt and method

Preliminary Class

604

LICENSE FOR FOREIGN FILING UNDER

**Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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